I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020699-002500US Client Reference No.: 50P3930.01

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

PARTS

Unknown

RESPONSE TO NOTICE OF MISSING

2622

TRANSMITTAL LETTER -

In re application of:

Hawley K. Rising III et al

Application No.: 09/904,271

Filed: July 11, 2001

For: A Two-Staged Mapping for

Application Specific Markup and Binary

Encoding

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated August 28, 2001, enclosed are the following to be made of record in the above-identified

- 1) Executed Declaration
- 2) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

application:

(a) Filing Fee (§ 1.16(a)) (Large Entity)

\$740.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

> COPY OF PAPERS **ORIGINALLY FILED**

Hawley K. Rising III et al Application No.: 09/904,271

Page 2

TOT	AL FEES TO BE C	HARGED	\$870.00
(c)	Missing Parts Surch	aarge	\$130.00
	1 - 3 = 0	x \$84.00 =	\$0.00
	9 - 20 = 0	x \$18.00 =	\$0.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Fide D. Nwamu Reg. No. 46,294

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415 576-0300

FDN:amb

SF 1286360 v1

PTO/SB/17 (09-00)
App for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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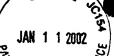
CEEE TO ANOMITTAL		Complete If Known					
OTPEEE TRANSMITTAL	Application Number	09/904,271					
ັ້ງfor FY 2001	Filing Date	July 11, 2001					
JAN 1 1 2002 [])	First Named Inventor	Rising, Hawley K.					
Agent fees are subject to annual revision.	Examiner Name						
* TRADEMARK	Group Art Unit	2622					
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TOTAL	AMOU	INT OF	PAYM	ENI (\$)	910		Attorne	зу поске	et INO.	0200			
METHOD OF PAYMENT					FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge				3. ADDITIONAL FEES									
indicated fees and credit any over payments to: Deposit				Large Fee Code	Entity Fee (\$)	Smail Fee Code	Entity Fee (\$)	Fee Description	Fee Paid				
Accoun	nt	20-1	430				105	130	205	65	Surcharge - late filing fee or oath	130	
Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.					
Deposit Account Townsend and Townsend and Crew LLP				139	130	139	130	Non-English specification					
Account Townsend and Townsend and Crew LLP Name					147	2,520	147	2,520	For filing a request for reexamination				
⊠ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
□ Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2. 🔲 🛚	Paymer	t Enclos	ed:				115	110	215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other					her	116	400	216	200	Extension for reply within second month			
				Order		· · · · · · · · · · · · · · · · · · ·	117	920	217	460	Extension for reply within third month		
1. BA	SIC FIL	ING FEE		LCULATION			118	1,440	218	720	Extension for reply within fourth month		
	Entity S		ntity				128	1,960	228	980	Extension for reply within fifth month		
_	-		•	ee Description	1		119	320	219	160	Notice of Appeal		
Code ((\$)	-	\$)		_	Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101 7				Itility filing fee	_	740	121	280	221	140	Request for oral hearing		
				Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding		
108 7	740 2	08 3	70 F	Reissue filing fee	, [140	110	240	55	Petition to revive – unavoidable		
114 160 214 80 Provisional filing fee					141	1,280	241	640	Petition to revive – unintentional				
					142	1,280	242	640	Utility issue fee (or reissue)				
,		SUB	STOTAL	(1)		(\$)740	143	460 .	243	230	Design issue fee		
2. EXTRA	CI AIM	FFFS					144	620	244	310	Plant issue fee		
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Total Claims	9	20**	= 0		ow 18 =	Paid \$0	123	50	123	50	Petitions related to provisional applications		
Independent Claims	1	-3**	= 0	x [\$	84 =	\$0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	<u> </u>	_	<u> </u>	× [=		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
Large	Entity .	Small Fee	Entity				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Code	Fee (\$)	Code	Fee (\$)	Fee Descript			149	740	249	370	For each additional invention to be		
103	18	203	9	Claims in exc		occ of 2					examined (37 CFR § 1.129(b))	\vdash	
102 104	84 280	202 204	42 140	Independent of			179	740	279	370	Request for Continued Examination (RCE)		
104	84	209	42		ple dependent claim, if not paid elssue independent claims over			900	169	900	Request for expedited examination of a design application		
110	18 .	210	9	** Reissue cla over original p	ims in exce	ss of 20 and	Other fee (specify)						
SUBTOTAL (2) (\$)0					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.								
*Re					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)170								
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SUBMITTED BY				Co	omplete <i>(if applicable)</i>	
Name (Print/Type)	Fidel D Nwamu	Registration No. (Attorney/Agent)	46,294	Telephone	415-576-0200	
Signature				Date	19/29/81	
WARNING: Information on this form may become public. Credit card information should not be						

included on this form. Provide credit card information and authorization on PTO-2038.









COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/904,271

07/11/2001

Hawley K. Rising

020699-002500US

CONFIRMATION NO. 2025

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

OC000000006484032

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 VTRUONG1 00000103 201430 09904271

01 FC:101 02 FC:105 740.00 CH 130.00 CH

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